

# Decidability

Turing Machines Coded as Binary  
Strings

Diagonalizing over Turing  
Machines

Problems as Languages

Undecidable Problems

# Binary-Strings from TM's

- We shall restrict ourselves to TM's with input alphabet  $\{0, 1\}$ .
- Assign positive integers to the three classes of elements involved in moves:
  1. States:  $q_1$  (start state),  $q_2$  (final state),  $q_3, \dots$
  2. Symbols  $X_1$  (0),  $X_2$  (1),  $X_3$  (blank),  $X_4, \dots$
  3. Directions  $D_1$  (L) and  $D_2$  (R).

# Binary Strings from TM's – (2)

- Suppose  $\delta(q_i, X_j) = (q_k, X_l, D_m)$ .
- Represent this rule by string  $0^i 1 0^j 1 0^k 1 0^l 1 0^m$ .
- **Key point:** since integers  $i, j, \dots$  are all  $> 0$ , there cannot be two consecutive 1's in these strings.

# Binary Strings from TM's – (2)

- Represent a TM by concatenating the codes for each of its moves, separated by 11 as punctuation.
  - That is:  $\text{Code}_111\text{Code}_211\text{Code}_311 \dots$

# Enumerating TM's and Binary Strings

- Recall we can convert binary strings to integers by prepending a 1 and treating the resulting string as a base-2 integer.
- Thus, it makes sense to talk about “the  $i$ -th binary string” and about “the  $i$ -th Turing machine.”
- **Note:** if  $i$  makes no sense as a TM, assume the  $i$ -th TM accepts nothing.

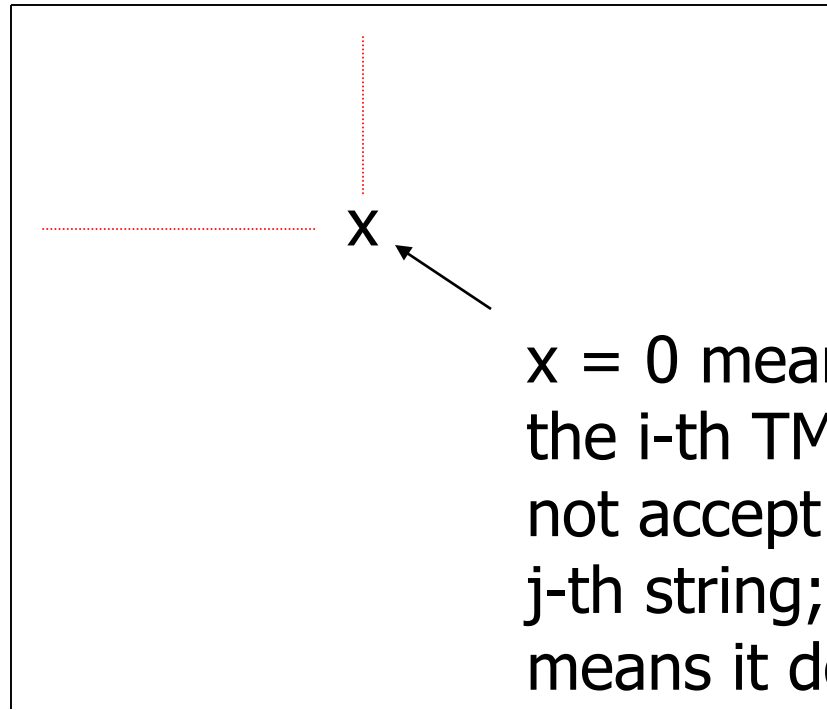
# Table of Acceptance

String  $j$   $\longrightarrow$

1 2 3 4 5 6 ...

TM  
 $i$   
 $\downarrow$

1  
2  
3  
4  
5  
6  
.  
.  
.



$x = 0$  means  
the  $i$ -th TM does  
not accept the  
 $j$ -th string; 1  
means it does.

# Diagonalization Again

- Whenever we have a table like the one on the previous slide, we can *diagonalize* it.
  - That is, construct a sequence  $D$  by complementing each bit along the major diagonal.
- Formally,  $D = a_1a_2\dots$ , where  $a_i = 0$  if the  $(i, i)$  table entry is 1, and vice-versa.

# The Diagonalization Argument

- Could  $D$  be a row (representing the language accepted by a TM) of the table?
- Suppose it were the  $j$ -th row.
- But  $D$  disagrees with the  $j$ -th row at the  $j$ -th column.
- Thus  $D$  is not a row.



# Diagonalization – (2)

- Consider the diagonalization language  $L_d = \{w \mid w \text{ is the } i\text{-th string, and the } i\text{-th TM does not accept } w\}$ .
- We have shown that  $L_d$  is not a recursively enumerable language; i.e., it has no TM.

# Problems

- Informally, a “problem” is a yes/no question about an infinite set of possible *instances*.
- **Example:** “Does graph G have a *Hamilton cycle* (cycle that touches each node exactly once)?”
  - Each undirected graph is an instance of the “Hamilton-cycle problem.”

# Problems – (2)

- Formally, a problem is a language.
- Each string encodes some instance.
- The string is in the language if and only if the answer to this instance of the problem is “yes.”

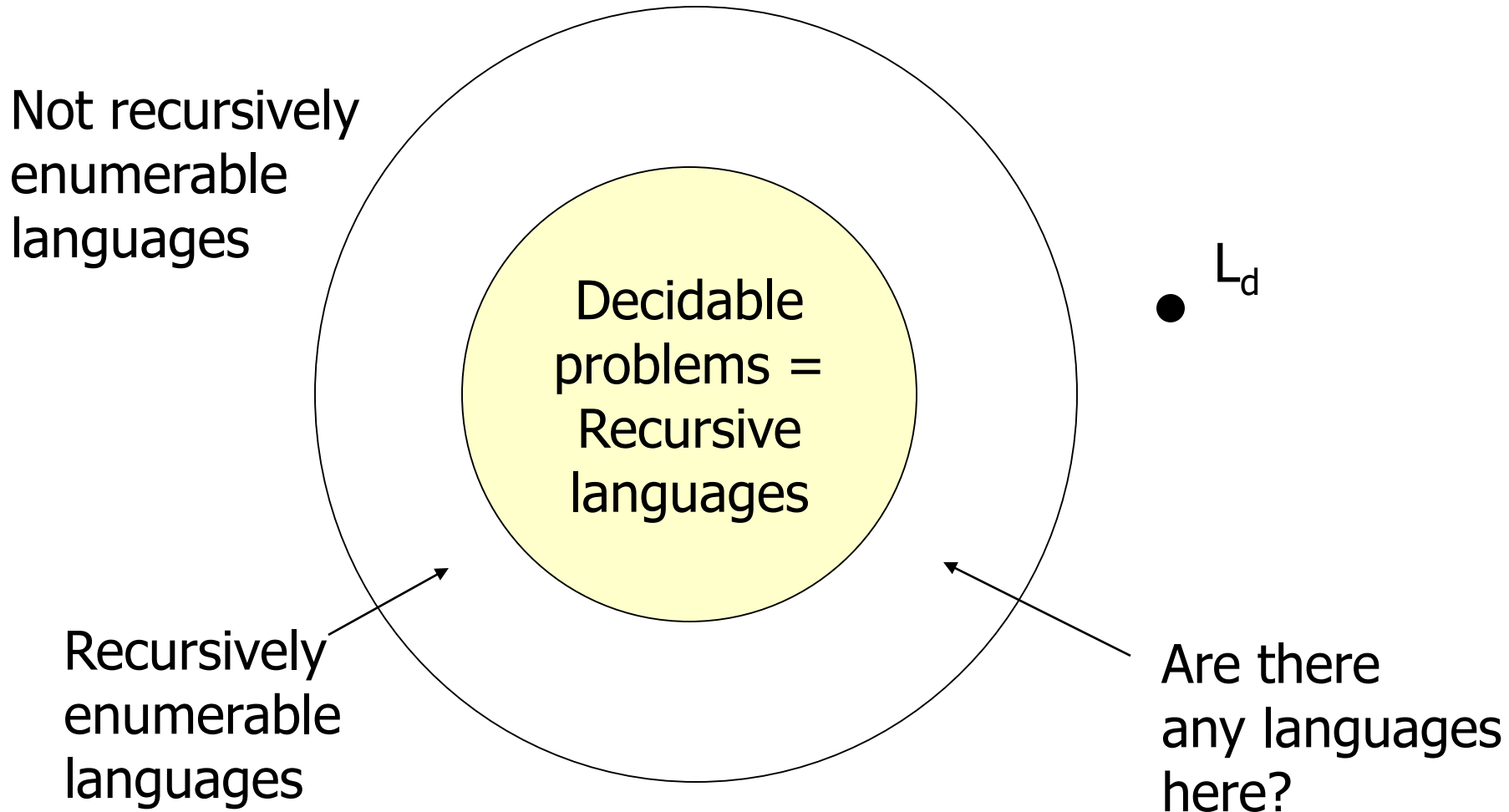
# Example: A Problem About Turing Machines

- We can think of the language  $L_d$  as a problem.
- “Does this TM not accept its own code?”

# Decidable Problems

- A problem is *decidable* if there is an algorithm to answer it.
  - **Recall:** An “algorithm,” formally, is a TM that halts on all inputs, accepted or not.
  - Put another way, “decidable problem” = “recursive language.”
- Otherwise, the problem is *undecidable*.

# Bullseye Picture



# From the Abstract to the Real

- While the fact that  $L_d$  is undecidable is interesting intellectually, it doesn't impact the real world directly.
- We first shall develop some TM-related problems that are undecidable, but our goal is to use the theory to show some real problems are undecidable.

# Examples: Undecidable Problems

- Can a particular line of code in a program ever be executed?
- Is a given context-free grammar ambiguous?
- Do two given CFG's generate the same language?



# The Universal Language

- An example of a recursively enumerable, but not recursive language is the language  $L_u$  of a *universal Turing machine*.
- That is, the UTM takes as input the code for some TM  $M$  and some binary string  $w$  and accepts if and only if  $M$  accepts  $w$ .

# Designing the UTM

□ Inputs are of the form:

Code for M 111 w

□ **Note:** A valid TM code never has 111, so we can split M from w.

□ The UTM must accept its input if and only if M is a valid TM code and that TM accepts w.

# The UTM – (2)

- The UTM will have several tapes.
- Tape 1 holds the input  $M111w$
- Tape 2 holds the tape of  $M$ .
- Tape 3 holds the state of  $M$ .

# The UTM – (3)

- **Step 1:** The UTM checks that  $M$  is a valid code for a TM.
  - E.g., all moves have five components, no two moves have the same state/symbol as first two components.
- If  $M$  is not valid, its language is empty, so the UTM immediately halts without accepting.

# The UTM – (4)

- **Step 2:** The UTM examines  $M$  to see how many of its own tape squares it needs to represent one symbol of  $M$ .
- **Step 3:** Initialize Tape 2 to represent the tape of  $M$  with input  $w$ , and initialize Tape 3 to hold the start state.

# The UTM – (5)

## □ Step 4: Simulate M.

- Look for a move on Tape 1 that matches the state on Tape 3 and the tape symbol under the head on Tape 2.
- If found, change the symbol and move the head marker on Tape 2 and change the State on Tape 3.
- If M accepts, the UTM also accepts.

# Proof That $L_u$ is Recursively Enumerable, but not Recursive

- We designed a TM for  $L_u$ , so it is surely RE.
- Suppose it were recursive; that is, we could design a UTM  $U$  that always halted.
- Then we could also design an algorithm for  $L_d$ , as follows.

# Proof – (2)

- Given input  $w$ , we can decide if it is in  $L_d$  by the following steps.
  1. Check that  $w$  is a valid TM code.
    - If not, then its language is empty, so  $w$  **is** in  $L_d$ .
  2. If valid, use the hypothetical algorithm to decide whether  $w11w$  is in  $L_u$ .
  3. If so, then  $w$  is **not** in  $L_d$ ; else it is.



## Proof – (3)

- But we already know there is no algorithm for  $L_d$ .
- Thus, our assumption that there was an algorithm for  $L_u$  is wrong.
- $L_u$  is RE, but not recursive.

# Bullseye Picture

